

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

INFINITY Q DIVERSIFIED ALPHA FUND,

Defendant.

22 Civ. 9608 (PKC)

**NOTICE OF APPLICATION OF SPECIAL MASTER AND  
SPECIAL LITIGATION COMMITTEE AND THEIR COUNSEL  
FOR INTERIM ALLOWANCE OF COMPENSATION**

The Special Master and the Special Litigation Committee (“SLC”) of the Trust for Advised Portfolios (“TAP”), and their counsel Finn Dixon & Herling LLP (“FDH”), respectfully submit this notice of application for interim allowance of compensation pursuant to paragraph 27(A) of the Court’s Amended Consented To Order Appointing Special Master and Imposing Litigation Injunction dated March 10, 2023 (ECF 38) (the “Amended Order”). In accordance with the Amended Order, this Notice of Application has been served upon the U.S. Securities and Exchange Commission and counsel for TAP, each of which has fourteen (14) days to file an objection in accordance with paragraph 27(B) of the Amended Order. Absent objection, the Special Master shall make payments to the applicants in accordance with paragraph 27(B).

1. Annexed hereto as **Exhibit A** is the invoice of the Special Master for services performed during the period February 1, 2023 through February 28, 2023 in the amount of \$13,708.00.

2. Annexed hereto as **Exhibit B** are invoices of SLC members Andrew M. Calamari and John C. Siciliano for services performed during the period February 1, 2023 through February 28, 2023 in the amounts of \$11,684.00 and \$20,025.10, respectively.
3. Annexed hereto as **Exhibit C** is the invoice for services rendered by FDH to the SLC during the period February 1, 2023 through February 28, 2023 (including costs and disbursements) in the amount of \$79,616.50.
4. Annexed hereto as **Exhibit D** is the invoice for services rendered by FDH to the Special Master during the period February 1, 2023 through February 28, 2023 in the amount of \$11,989.50.

Dated: Stamford, Connecticut  
March 22, 2023

Respectfully submitted,

/s/ Daniel S. Noble

Daniel S. Noble  
FINN DIXON & HERLING LLP  
Six Landmark Square  
Stamford, CT 06901-2704  
Tel: (203) 325-5000  
Email: dnoble@fdh.com

## **EXHIBIT A**



ATTORNEYS AT LAW

Infinity Q Special Master

March 15, 2023  
Matter #: 63010.001

**SUMMARY OF INVOICE # 170719**

For Services Rendered through February 28, 2023  
As Special Master

Fees for Services as Special Master	\$13,708.00
<b>TOTAL DUE WITH THIS INVOICE</b>	<b>\$13,708.00</b>

**Wire Transfer and ACH Instructions**

Bank: [REDACTED]  
ABA #: [REDACTED]  
Account #: [REDACTED]

Infinity Q Special Master

Invoice # 170719

March 15, 2023

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For Services Rendered Through February 28, 2023

Matter #: 63010.001

**FEE DETAILS**

<b><u>Date</u></b>	<b><u>TKPR</u></b>	<b><u>Description</u></b>	<b><u>Hrs</u></b>	<b><u>Fees</u></b>
02/01/23	AMC	Draft letter to Court regarding outstanding expenses (.8); correspond with Russell Simon regarding same (.2).	1.00	920.00
02/06/23	AMC	Work on status report for Court.	3.00	2,760.00
02/07/23	AMC	Draft status report.	1.50	1,380.00
02/08/23	AMC	Revise status report (.5); correspond with R. Simon and Morgan Lewis regarding bills (.2).	0.70	644.00
02/12/23	AMC	Call with SEC.	0.10	92.00
02/14/23	AMC	Call with SEC.	0.20	184.00
02/15/23	AMC	Work on letter seeking Court approval for past expenses.	5.00	4,600.00
02/16/23	AMC	Revise expense approval letter (1); call with independent director counsel (.2); call with SEC regarding indemnification and advancement issues (.1).	1.30	1,196.00
02/17/23	AMC	Call with Ellis regarding research assignment regarding [REDACTED].	0.30	276.00
02/23/23	AMC	Call with Ellis regarding [REDACTED] research.	0.20	184.00
02/24/23	AMC	Review research [REDACTED] (.2); call with SEC (.5).	0.70	644.00
02/28/23	AMC	Call with Michael Cabin regarding advancement (.2); call with S DeCicco regarding same (.7).	0.90	828.00
<b>TOTAL FEES</b>				<b>\$13,708.00</b>

## **EXHIBIT B**



ATTORNEYS AT LAW

Infinity Q Diversified Alpha Fund  
Attn: Mr. Russell Simon, Principal Financial Officer  
Trust for Advised Portfolios c/o U.S. Bank Global Fund Servi  
2020 E. Financial Way Ste 100  
Glendora, CA 91741

March 15, 2023  
Matter #: 62727.001

**SUMMARY OF INVOICE # 170720**

For Services Rendered through February 28, 2023  
In connection with Independent Trustee

Fees for Legal Services	\$11,684.00
<b>TOTAL DUE WITH THIS INVOICE</b>	<b>\$11,684.00</b>

**Wire Transfer and ACH Instructions**

Bank: [REDACTED]  
ABA #: [REDACTED]  
Account #: [REDACTED]

Infinity Q Diversified Alpha Fund  
 Attn: Mr. Russell Simon, Principal Financial Officer  
 Trust for Advised Portfolios c/o U.S. Bank Global Fund Servi  
 2020 E. Financial Way Ste 100  
 Glendora, CA 91741

Invoice # 170720  
 March 15, 2023  
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For Services Rendered Through February 28, 2023

Matter #: 62727.001

**FEE DETAILS**

<b><u>Date</u></b>	<b><u>TKPR</u></b>	<b><u>Description</u></b>	<b><u>Hrs</u></b>	<b><u>Fees</u></b>
02/01/23	AMC	Team meeting.	0.50	460.00
02/02/23	AMC	Call with SEC.	0.40	368.00
02/06/23	AMC	Call with John S; review derivative complaint.	0.80	736.00
02/07/23	AMC	Review Potter outline; call with SEC; meet with Ben.	0.60	552.00
02/08/23	AMC	Call with DE counsel (.5); team meeting (.3).	0.80	736.00
02/09/23	AMC	Prep for and attend Potter interview; review outline; post-interview meeting.	3.50	3,220.00
02/10/23	AMC	Calls with SEC (.4); call with Dan N (.2).	0.60	552.00
02/14/23	AMC	Call with DE counsel (.5); team meeting (.3).	0.80	736.00
02/16/23	AMC	Call with Dan regarding R23.1 motion in DE action and strategy (.3); call with DE counsel (.3); attend Board call (.3).	0.90	828.00
02/17/23	AMC	Call with expert [REDACTED].	0.80	736.00
02/21/23	AMC	Team call.	0.80	736.00
02/23/23	AMC	Call with Kurt.	0.30	276.00
02/24/23	AMC	Review memo [REDACTED].	0.50	460.00
02/25/23	AMC	Call with SEC.	0.20	184.00
02/27/23	AMC	Call with Neal regarding [REDACTED] (.4); review opt-out motion to intervene (.2); call with Neal (.1).	0.70	644.00
02/28/23	AMC	Team call (.4); call with John S. regarding expert (.1).	0.50	460.00
<b>TOTAL FEES</b>				<b>\$11,684.00</b>

**John C. Siciliano**  
**Avondale Strategies, LLC**

[REDACTED]  
**Pasadena, CA 91106**

**Invoice as of February 28, 2023**

Infinity Q Diversified Alpha Fund  
 Attn: Mr. Russell Simon, Principal Financial Officer  
 Trust for Advised Portfolios c/o U.S. Bank Global Fund Services  
 2020 E. Financial Way Ste 100  
 Glendora, CA 91741

<u>Date</u>	<u>Activity</u>	<u>Time</u>
February 1	Weekly Call	25 minutes
	Review various emails; tolling agreements; Len Potter Outline; one other specific memo	35 minutes
February 5	Review derivative suit emails and amended complaint	80 minutes
February 6	Conversation with Andrew Calamari	10 minutes
February 7	Travel for Potter interview	360 minutes
February 8	Weekly Call	30 minutes
February 8-10	Review various emails on derivative suite; [REDACTED] Agreement	60 minutes
	Review amended and final versions of Potter outline	50 minutes
February 9	Potter interview	300 minutes
February 10	Travel	360 minutes
	Travel expenses - after 20% courtesy discount (see attached)	
	Kurt Heyman/Dan Noble emails	10 minutes
February 14	Kurt Heyman conference call	30 minutes
	Weekly call	20 minutes
February 16	[REDACTED] Agreement	5 minutes
	Kurt Heyman emails	20 minutes
	Board Prep call with Andrew Calamari	10 minutes
	Heyman, Calamari, Noble call re. derivative suit	20 minutes
	Document review	10 minutes
	Board call	15 minutes
February 17	Due Diligence call with leading platform provider	60 minutes
February 20	Debrief with Andrew Calamari on platform provider	15 minutes
February 21	Weekly call	45 minutes
February 23	Kurt Heyman call with Calamari and Noble	15 minutes
February 28	Weekly call	20 minutes
	Industry expert call	35 minutes
	Call with Andrew Calamari	10 minutes

<b>TOTAL MINUTES:</b>	<b>1650 minutes or</b>	<b>27.5 hours</b>
	<b>LESS 360 minutes TRAVEL CREDIT</b>	<b><u>21.5 hours</u></b>
<b>TOTAL MINUTES AFTER TRAVEL CREDIT:</b>		<b>21.5 hours</b>

	<b>TOTAL TIME AT \$800 PER HR</b>	<b>\$ 17,200.00</b>
<b>20% DISCOUNTED TRAVEL EXPENSES (attached)</b>		<b><u>2,825.10</u></b>
	<b>TOTAL FEBRUARY BILL</b>	<b>\$ 20,025.10</b>

Please remit by wire/ACH (no checks please) to:

[REDACTED]

[REDACTED]

[REDACTED]

FBO: John C. Siciliano

Routing number: [REDACTED]

Routing number: [REDACTED]

Account number: [REDACTED]

**John C. Siciliano  
Avondale Strategies, LLC**

[REDACTED]  
**Pasadena, CA 91106**

**Travel Invoice for Potter Interview  
February 7-10, 2023**

Infinity Q Diversified Alpha Fund  
Attn: Mr. Russell Simon, Principal Financial Officer  
Trust for Advised Portfolios c/o U.S. Bank Global Fund Services  
2020 E. Financial Way Ste 100  
Glendora, CA 91741

<b>Category</b>	<b>Amount</b>
Ground Transportation	\$ 315.35
Food and Beverage	124.96
Airplane Wifi	16.00
Airfare	1,937.80
Airport Parking	87.99
Lodging	<u>1,049.28</u>
<b>Total:</b>	<b>\$3,531.38</b>
<b>Less 20% Courtesy Discount:</b>	<b><u>706.28</u></b>
<b>Total:</b>	<b>\$2,825.10</b>

Please remit by wire/ACH (no checks please) to:

[REDACTED]  
[REDACTED]  
[REDACTED]

FBO: John C. Siciliano

Routing number: [REDACTED]

Routing number: [REDACTED]

Account number: [REDACTED]

## **EXHIBIT C**



ATTORNEYS AT LAW

Special Litigation Committee for the Boa  
Trust for Advised Portfolios c/o US Bank Global Fund Service  
2020 E. Financial Way  
Ste 100  
Glendora, CA 91741

March 16, 2023  
Matter #: 62772.001

**SUMMARY OF INVOICE # 170690**

For Services Rendered through February 28, 2023  
In connection with FDH Representation

Fees for Legal Services	\$74,745.50
Costs and Disbursements	4,871.00
<b>TOTAL DUE WITH THIS INVOICE</b>	<b>\$79,616.50</b>

**Wire Transfer and ACH Instructions**

Bank: [REDACTED]  
ABA #: [REDACTED]  
Account #: [REDACTED]

Special Litigation Committee for the Boa  
Trust for Advised Portfolios c/o US Bank Global Fund Service  
2020 E. Financial Way  
Ste 100  
Glendora, CA 91741

Invoice # 170690  
March 16, 2023  
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For Services Rendered Through February 28, 2023

Matter #: 62772.001

### FEE DETAILS

<u>Date</u>	<u>TKPR</u>	<u>Description</u>	<u>Hrs</u>	<u>Fees</u>
02/01/23	BMA	Team meeting with SLC (0.5). Follow-up discussion with K. Sweeney (0.1). Attention to tolling agreements (0.3). Call counsel for BBD (0.1).	1.00	620.00
02/01/23	CAS	Print and assemble Compliance documents; update tolling deadlines for B. Arrow	2.00	660.00
02/01/23	DSN	Correspondence with J. Siciliano, A. Calamari, B. Arrow	0.10	82.50
02/01/23	KP	Team meeting with SLC	0.50	277.50
02/01/23	KVS	Attend weekly Team meeting.	0.50	225.00
02/01/23	KVS	Communicate with Team to verify facts relevant to legal analysis	0.50	225.00
02/01/23	MBD	Legal research (2.5); team meeting with SLC (0.5)	3.00	1,845.00
02/02/23	CAS	Update tolling agreements expiration and deadlines;	0.50	165.00
02/02/23	MBD	Review legal research memo	0.60	369.00
02/03/23	BMA	Correspondence with M. Danzer, A. Calamari, D. Noble, J. Siciliano regarding legal research	0.20	124.00
02/03/23	EAM	Legal research	2.00	1,110.00
02/03/23	KP	Factual research for draft outline of SLC Report.	1.40	777.00
02/03/23	MBD	Legal research and communicate with B. Arrow regarding the same	3.30	2,029.50
02/05/23	BMA	Attention to tolling agreements (0.2). Outline draft facts section of SLC Report (3.4).	3.60	2,232.00
02/06/23	BMA	Correspondence with counsel for BBD (0.1). Correspondence with A. Calamari, D. Noble, and J. Siciliano re: tolling agreement (0.1).	0.20	124.00
02/06/23	EAM	Legal research.	3.00	1,665.00
02/06/23	KP	Legal research for draft outline of SLC Report.	1.30	721.50
02/07/23	BMA	Meet with A. Calamari re: order of proof (0.2). Email counsel for L. Potter re: interview (0.1). Draft and revise L. Potter interview outline (0.1). Draft fact section of outline of SLC Report (4.4).	4.80	2,976.00
02/07/23	CAS	Print and assemble documents for Len Potter Interview; Disc. and emails with Ben Arrow re: same	2.40	792.00
02/07/23	KVS	Review and revise Special Litigation Committee report outline.	0.80	360.00
02/08/23	BMA	Correspondence with A. Calamari, D. Noble, and K. Sweeney re: interview of L. Potter (0.1). Draft email to D. Noble re: outreach to witness (0.1). Team meeting with SLC (0.4). Outline facts section of SLC Report (1.6). Draft and revise outline for interview of L. Potter (1.9).	4.10	2,542.00
02/08/23	CAS	Research for B. Arrow on potential witnesses; email Velissaris filings to D. Noble	0.30	99.00
02/08/23	DSN	Team Meeting with SLC	0.40	330.00
02/08/23	DSN	Call with A. Calamari and K. Heyman	0.30	247.50
02/08/23	DSN	Correspondence with J. Siciliano, B. Arrow, A. Calamari	0.10	82.50
02/08/23	DSN	Correspondence with C. Sommer, B. Arrow	0.10	82.50
02/08/23	DSN	Correspondence with A. Calamari, K. Heyman	0.10	82.50
02/08/23	DSN	Correspondence with A. Calamari, B. Arrow	0.10	82.50
02/08/23	DSN	Correspondence with B. Arrow regarding witness interview	0.10	82.50
02/08/23	EAM	Team Meeting with SLC	0.40	222.00
02/08/23	EAM	Legal research	3.00	1,665.00
02/08/23	KP	Team meeting with SLC (0.4). Legal research for draft outline of SLC Report.	1.30	721.50
02/09/23	BMA	Final revisions to L. Potter interview outline and correspondence with D. Noble re same (0.4). Review E. Meagher's legal research memo and email E. Meagher re: revisions thereto (0.3). Meet with A. Calamari, J. Siciliano, and D. Noble re: interview of L. Potter (1.0). Interview of L. Potter (2.5)	4.20	2,604.00
02/09/23	CAS	Review Velissaris Orders from Court and update calendar with sentencing deadlines	0.10	33.00

Special Litigation Committee for the Boa  
Trust for Advised Portfolios c/o US Bank Global Fund Service  
2020 E. Financial Way  
Ste 100  
Glendora, CA 91741

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02/09/23	DSN	Correspondence with C. Sommer	0.10	82.50
02/09/23	DSN	Correspondence with B. Arrow	0.10	82.50
02/09/23	DSN	Draft and revise L. Potter interview outline; prep for L. Potter interview.	1.50	1,237.50
02/09/23	DSN	Meet with A. Calamari, J. Siciliano, B. Arrow, K. Sweeney in advance of L. Potter interview	1.00	825.00
02/09/23	DSN	Interview of L. Potter	2.50	2,062.50
02/09/23	EAM	Legal research	2.60	1,443.00
02/09/23	KVS	Attend and prepare notes on interview of Potter.	2.60	1,170.00
02/09/23	KVS	Finalize notes on interview of Potter.	1.00	450.00
02/10/23	DSN	Review and analyze Rowan amended derivative complaint	1.50	1,237.50
02/10/23	DSN	Correspondence with Kelsey Powderly re: legal research	0.10	82.50
02/10/23	DSN	Correspondence with A. Calamari	0.10	82.50
02/10/23	DSN	Correspondence with B. Arrow, E. Meagher	0.10	82.50
02/10/23	DSN	Correspondence with B. Morgan	0.10	82.50
02/10/23	DSN	Correspondence with K. Heyman	0.10	82.50
02/10/23	DSN	Correspondence with T. Cimino	0.10	82.50
02/10/23	DSN	Draft and revise Motion to Dismiss amended complaint in Rowan	0.20	165.00
02/10/23	DSN	Correspondence with A. Calamari	0.10	82.50
02/10/23	DSN	Draft and revise document (privileged & confidential)	0.40	330.00
02/10/23	DSN	Correspondence with A. Calamari, J. Siciliano, B. Arrow, K. Heyman	0.20	165.00
02/12/23	DSN	Correspondence with K. Heyman	0.10	82.50
02/13/23	BMA	Outline draft facts section of SLC Report (2.0). Discuss additional fact-gathering with E. Meagher (0.1).	2.10	1,302.00
02/13/23	EAM	Call with B. Arrow	0.50	277.50
02/14/23	BMA	Call with K. Heyman re: amended derivative complaint in Rowan (0.4). Team meeting with SLC (0.5). Emails with counsel for BBD (0.1).	1.00	620.00
02/14/23	DSN	Correspondence with A. Calamari, B. Arrow	0.10	82.50
02/14/23	EAM	Team meeting with SLC	0.50	277.50
02/14/23	EAM	Draft and revise draft outline of SLC Report	0.40	222.00
02/14/23	KP	Team meeting with SLC	0.50	277.50
02/14/23	KVS	Attend weekly team meeting.	0.30	135.00
02/14/23	KVS	Conference call regarding legal research	0.10	45.00
02/15/23	BMA	Correspondence with D. Noble regarding tolling agreement	0.10	62.00
02/15/23	DSN	Correspondence with J. Nichols, T. Cimino	0.10	82.50
02/15/23	DSN	Correspondence with A. Calamari, J. Siciliano, B. Arrow (0.1); Correspondence with B. Morgan (0.1)	0.20	165.00
02/15/23	DSN	Correspondence with M. Blanchard	0.10	82.50
02/15/23	DSN	Correspondence with A. Calamari, J. Siciliano, B. Arrow, D. Andrews, K. Heyman	0.10	82.50
02/15/23	DSN	Call with B. Morgan re: tolling agreement and Rowan case	0.30	247.50
02/15/23	DSN	Correspondence with B. Arrow	0.20	165.00
02/16/23	DSN	Correspondence with E. Meagher, B. Arrow, A. Calamari	0.20	165.00
02/16/23	DSN	Correspondence with K. Heyman, A. Calamari regarding Rowan case	0.20	165.00
02/16/23	DSN	Correspondence with K. Heyman	0.10	82.50
02/16/23	DSN	Review and revise Motion to Dismiss amended complaint and proposed order in Rowan	0.20	165.00
02/16/23	DSN	Conference call with K. Heyman and other defense counsel in Rowan	0.50	412.50
02/16/23	DSN	Correspondence with A. Calamari, J. Siciliano	0.10	82.50
02/16/23	DSN	Call with K. Heyman re: Rowan case	0.20	165.00
02/16/23	DSN	Call with A. Calamari	0.30	247.50
02/16/23	DSN	Call with A. Calamari, J. Siciliano, and K. Heyman	0.30	247.50
02/16/23	DSN	Correspondence with K. Heyman, B. Arrow, A. Calamari, J. Siciliano	0.10	82.50
02/16/23	EAM	Draft and revise outline of SLC Report	2.00	1,110.00
02/16/23	EAM	Correspondence with B. Arrow regarding: legal research	0.10	55.50
02/17/23	BMA	Conference call re: potential expert witness	0.80	496.00
02/17/23	DSN	Correspondence with K. Heyman, A. Calamari, B. Arrow, J. Siciliano	0.10	82.50
02/17/23	DSN	Review and revise Stipulated Scheduling Order in Rowan case	0.10	82.50

Special Litigation Committee for the Boa  
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02/17/23	DSN	Correspondence with B. Arrow, A. Calamari, J. Siciliano	0.10	82.50
02/17/23	DSN	Zoom with potential expert witnesses	0.50	412.50
02/17/23	DSN	Correspondence with K. Heyman, B. Arrow, A. Calamari, J. Siciliano	0.10	82.50
02/17/23	DSN	Correspondence with A. Calamari, K. Heyman, E. Meagher, B. Arrow	0.10	82.50
02/17/23	EAM	Draft and revise SLC Report outline	3.00	1,665.00
02/20/23	BMA	Draft tolling agreements (0.9). Attention to investigation plan (0.1). Draft email to D. Noble re: Team meeting with SLC (0.2).	1.20	744.00
02/20/23	DSN	Correspondence with J. Siciliano	0.10	82.50
02/20/23	DSN	Correspondence with J. Siciliano, B. Arrow, A. Calamari, J. Zubairi, T. Cimino, J. Nichols	0.20	165.00
02/21/23	BMA	Email counsel for EisnerAmper and BBD (0.3). Team Meeting with SLC (0.8). Update completed tasks chart (0.2).	1.30	806.00
02/21/23	CAS	Download and save documents filed in Delaware action; update Velissaris sentencing dates; research for M. Danzer on Rule 11 motions	0.80	264.00
02/21/23	DSN	Team Meeting with SLC	0.80	660.00
02/21/23	DSN	Call with Dan Tehrani	0.40	330.00
02/21/23	DSN	Correspondence with D. Tehrani	0.20	165.00
02/21/23	DSN	Correspondence with M. Hampson	0.10	82.50
02/21/23	DSN	Correspondence with A. Calamari, J. Siciliano	0.10	82.50
02/21/23	DSN	Correspondence with A. Calamari, N. Jacobson, S. DiCicco	0.10	82.50
02/21/23	DSN	Draft and revise Amended and Restated Tolling Agreements	0.30	247.50
02/21/23	DSN	Correspondence with E. Meagher	0.10	82.50
02/21/23	DSN	Review documents	0.40	330.00
02/21/23	DSN	Correspondence with B. Arrow	0.50	412.50
02/21/23	DSN	Correspondence with M. Danzer	0.30	247.50
02/21/23	EAM	Team meeting with SLC	0.80	444.00
02/21/23	KP	Team meeting with SLC	0.80	444.00
02/21/23	KVS	Attend weekly team meeting.	0.80	360.00
02/21/23	MBD	Team Meeting with SLC (0.8); review amended derivative complaint in Rowan and related material (5.2)	6.00	3,690.00
02/22/23	BMA	Communications with A. Calamari and D. Noble (0.2). Update memorandum regarding: SLC investigation (0.3).	0.50	310.00
02/22/23	DSN	Correspondence with K. Heyman, G. McGillivray	0.10	82.50
02/22/23	DSN	Call with M. Danzer re: Rowan case	0.30	247.50
02/22/23	DSN	Correspondence with B. Arrow, J. Siciliano, A. Calamari, J. Nichols, J. Zubairi, T. Cimino.	0.20	165.00
02/22/23	DSN	Correspondence with E. Meagher	0.10	82.50
02/22/23	DSN	Call with A. Calamari	0.20	165.00
02/22/23	DSN	Correspondence with A. Calamari, K. Heyman, J. Siciliano	0.20	165.00
02/22/23	DSN	Correspondence with M. Danzer, A. Calamari	0.30	247.50
02/22/23	DSN	Legal Research	0.70	577.50
02/22/23	DSN	Review documents and draft notes for correspondence in Rowan case	1.00	825.00
02/22/23	MBD	Review amended complaint in Rowan and related materials and discuss the same with D. Noble.	2.20	1,353.00
02/23/23	BMA	Revise memorandum regarding SLC investigation (2.0). Draft order of proof (1.1).	3.10	1,922.00
02/23/23	DSN	Correspondence with B. Arrow	0.10	82.50
02/23/23	DSN	Zoom with A. Calamari, J. Siciliano, K. Heyman re: Rowan case	0.30	247.50
02/23/23	DSN	Correspondence with E. Meagher	0.20	165.00
02/23/23	EAM	Call with B. Arrow	0.10	55.50
02/24/23	BMA	Review and revise summary of investigation to date (0.9).	0.90	558.00
02/24/23	DSN	Correspondence with K. Heyman, M. Blanchard, re: scheduling in Rowan case	0.10	82.50
02/24/23	DSN	Draft and revise stipulation re: scheduling in Rowan case	0.10	82.50
02/24/23	DSN	Correspondence with K. Heyman	0.10	82.50
02/24/23	DSN	Correspondence with B. Arrow, A. Calamari	0.20	165.00
02/24/23	DSN	Draft and revise memo re: SLC investigation	1.00	825.00

Special Litigation Committee for the Boa  
Trust for Advised Portfolios c/o US Bank Global Fund Service  
2020 E. Financial Way  
Ste 100  
Glendora, CA 91741

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02/24/23	MBD	Review materials in Rowan case and conduct legal research regarding the same for motion to dismiss	6.90	4,243.50
02/25/23	DSN	Review documents	0.30	247.50
02/25/23	DSN	Correspondence with S. DiCicco, I. Harris, A. Calamari, J. Siciliano, B. Arrow, E. Meagher.	0.10	82.50
02/27/23	BMA	Correspondence with counsel for EisnerAmper (0.1). Correspondence with counsel for BBD (0.1). Attention to new document production from GFS (0.3).	0.50	310.00
02/27/23	DSN	Correspondence with B. Arrow, A. Calamari, J. Siciliano	0.20	165.00
02/27/23	DSN	Correspondence with Brian Morgan	0.10	82.50
02/27/23	DSN	Review press article re: Rowan case	0.10	82.50
02/27/23	DSN	Draft and revise Memo regarding: SLC investigation	0.30	247.50
02/27/23	DSN	Correspondence with B. Arrow	0.10	82.50
02/27/23	KVS	Review communication regarding GFS document production.	0.20	90.00
02/28/23	BMA	Team meeting with SLC (0.4). Correspondence with Contact Discovery Services, counsel for GFS, and A. Calamari, D. Noble, and J. Siciliano re: GFS document production (0.3). Draft order of proof (1.7). Communications with E. Meagher re: new document production from GFS (0.5). Review S. Jensen's compliance officer agreement with GFS and TAP (0.3).	3.20	1,984.00
02/28/23	CAS	Calendar upcoming deadlines for Rowan DE action	0.10	33.00
02/28/23	DSN	Correspondence with J. Siciliano, A. Calamari	0.30	247.50
02/28/23	DSN	Call A. Calamari	0.10	82.50
02/28/23	DSN	Correspondence with B. Arrow	0.20	165.00
02/28/23	DSN	Correspondence with A. Calamari, J. Siciliano, M. Danzer, K. Heyman	0.20	165.00
02/28/23	EAM	Team Meeting with SLC	0.40	222.00
02/28/23	EAM	Call with B. Arrow	0.20	111.00
02/28/23	KP	Team meeting with SLC	0.40	222.00
02/28/23	KVS	Attend weekly team meeting.	0.40	180.00
02/28/23	MBD	Draft motion to dismiss in Rowan	2.00	1,230.00

**TOTAL FEES**

**\$74,745.50**

**COSTS AND DISBURSEMENTS**

Vendor: Contact Discovery Services, LLC; Invoice#: 10536; Date: 1/31/2023 Project Management and Engineering Relativity Users Relativity Hosting Trust For Advised Portfolios Internal Inv Overnight delivery - Fedex Invoice # 8-036-01139 Date - 2/7/2023 Sender - C Sommer Recipient - C Frye NEW YORK NY 10001	2,185.39
Vendor: Contact Discovery Services, LLC; Invoice#: 10743; Date: 2/28/2023 Project Management and Engineering Relativity Users Relativity Hosting Trust For Advised Portfolios Internal Inv	30.22
	2,655.39

**Total Costs and Disbursements**

**4,871.00**

## **EXHIBIT D**



ATTORNEYS AT LAW

Infinity Q Special Master

March 15, 2023  
Matter #: 63010.001.001

**SUMMARY OF INVOICE # 170710**

For Services Rendered through February 28, 2023  
to Special Master

Fees for Legal Services	\$11,989.50
<b>TOTAL DUE WITH THIS INVOICE</b>	<b>\$11,989.50</b>

**Wire Transfer and ACH Instructions**

Bank: [REDACTED]  
ABA #: [REDACTED]  
Account #: [REDACTED]

Infinity Q Special Master

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**FEE DETAILS**

<b><u>Date</u></b>	<b><u>TKPR</u></b>	<b><u>Description</u></b>	<b><u>Hrs</u></b>	<b><u>Fees</u></b>
02/07/23	CAS	Download documents received; emails with A. Calamari re: same	0.40	132.00
02/08/23	DSN	Review and revise Special Master's First Report	0.50	412.50
02/08/23	DSN	Correspondence with Andrew Calamari	0.10	82.50
02/09/23	CAS	Review and revise and file First Status Report with the Court; emails with A. Calamari re: same; email new documents filed to A. Calamari	0.80	264.00
02/09/23	DSN	Correspondence with DiCicco, Susan F., Menconi, Christopher D., Harris, Ivan P., Andrew Calamari	0.10	82.50
02/10/23	DSN	F/U Call with A. Calamari	0.10	82.50
02/10/23	DSN	Correspondence with Eilis Meagher	0.10	82.50
02/10/23	DSN	Correspondence with Catherine Sommer, Andrew Calamari	0.10	82.50
02/10/23	DSN	Call with A. Calamari	0.20	165.00
02/10/23	EAM	Review order and prepare outstanding task schedule	1.40	777.00
02/15/23	CAS	Review Consented to Order and calendar upcoming deadlines for status reports; email documents filed to A. Calamari	0.20	66.00
02/16/23	CAS	Download documents/ invoices for A. Calamari	0.20	66.00
02/16/23	DSN	Review and revise Special Master Key Dates Chart	0.10	82.50
02/16/23	DSN	Call with A. Calamari	0.20	165.00
02/16/23	DSN	Correspondence with A.Calamari, S. DiCicco, I. Harris, M. Cabin, J. Goldfarb, J. Siciliano, R. Simon	0.20	165.00
02/16/23	DSN	Call with S. DiCicco	0.30	247.50
02/16/23	DSN	Correspondence with A. Calamari, S. DiCicco, I. Harris, M. Ladd	0.10	82.50
02/16/23	DSN	Review and revise Special Master Letter to Court	0.60	495.00
02/16/23	DSN	Correspondence with S. DiCicco	0.10	82.50
02/16/23	DSN	Review SEC v. Infinity Order Appointing Special Master	0.20	165.00
02/16/23	DSN	Correspondence with Andrew Calamari	0.10	82.50
02/17/23	DSN	Correspondence with Andrew Calamari	0.10	82.50
02/17/23	EAM	Call with A. Calamari	0.30	166.50
02/17/23	EAM	Review Declaration of Trust and Distribution Agreement	0.40	222.00
02/20/23	DSN	Review reply letter filed by opt out plaintiffs	0.20	165.00
02/21/23	CAS	Finalize exhibits and Interim Allowance of Compensation and file the same with the Court; emails with D. Noble re: Same	0.60	198.00
02/21/23	DSN	Correspondence with Andrew Calamari	0.40	330.00
02/21/23	EAM	Call with K. Heyman	0.30	166.50
02/21/23	EAM	Prepare for call with K. Heyman	0.30	166.50
02/21/23	EAM	Legal research	1.00	555.00
02/22/23	CAS	Download and email new documents filed to A. Calamari	0.10	33.00
02/22/23	DSN	Correspondence with Neal Jacobson	0.10	82.50
02/22/23	EAM	Legal research	0.80	444.00
02/23/23	CAS	Email new court filings to A. Calamari and calendar upcoming deadlines for same	0.10	33.00
02/23/23	EAM	Legal research	3.50	1,942.50
02/23/23	EAM	Call with A. Calamari	0.20	111.00
02/24/23	CAS	Download and email motion to intervene documents filed to A. Calamari and D. Noble	0.20	66.00
02/24/23	DSN	Correspondence with Catherine Sommer, Andrew Calamari, Eilis Meagher regarding Infinity Special Master	0.10	82.50
02/24/23	DSN	Correspondence with S. DiCicco, Catherine Sommer	0.10	82.50
02/24/23	EAM	Legal research	4.90	2,719.50
02/25/23	DSN	Correspondence with DiCicco, Susan	0.10	82.50
02/25/23	DSN	Review Docket No. 34 Memorandum of Law in Support of Motion to Intervene	0.30	247.50
02/27/23	CAS	Email new documents filed to A.Calamari	0.20	66.00

Infinity Q Special Master  
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02/28/23	DSN	Correspondence with Catherine Sommer regarding Infinity Q Special Master	0.10	82.50
<b>TOTAL FEES</b>				<hr/> <b>\$11,989.50</b>